



FORM PTO-1449 (Modified)	Attorney Docket No.: 17887-007100US	Application No.: 09/773,250
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		
Applicant: Geoffrey D. Ralston et al.		Filing Date: January 31, 2001
		Group:

Reference Designation

U.S. PATENT DOCUMENTS

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Examiner Initial	Document No.	Date	Name	Class	Sub-class	Filing Date (If Appropriate)
<u>LK</u> AA	5,909,677	6/1/99	Broder et al.	707	3	
<u>LK</u> AB	5,974,481	10/26/99	Broder	710	49	
<u>LK</u> AC	5,999,932	12/7/99	Paul	707	10	
<u>LK</u> AD	6,023,723	2/8/00	McCormick et al.	709	206	
<u>LK</u> AE	6,052,709	4/18/00	Paul	709	202	
<u>LK</u> AF	6,072,942	6/6/00	Stockwell et al.	395	200.34	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

<u>LK</u> AG	Manber, Udi, "Finding Similar Files in a Large File System," <i>Dept. Computer Sci. Univ. Arizona</i> , October 1993.
<u>LK</u> AH	Iwanchuk, Russell, "Integralis Inc.: MIMESweeper" <i>PC Magazine</i> , http://www.zdnet.com/products/content/pcmg/1607/pcmg0221.html , April 8, 1997.
<u>LK</u> AI	Sullivan, Eamonn, "The 'Star Wars' phase of anti-spam tools," <i>PC Week Online</i> , http://www.zdnet.com/eweek/opinion/0316/16isigh.html , March 16, 1998.
<u>LK</u> AJ	"SpamEater Pro 2.7.0" <i>PC Magazine</i> , http://www.zdnet.com/pcmag/stories/reviews/0,6755,410471,00.html , August 17, 1999.
<u>LK</u> AK	"Spam Buster 1.63", <i>PC Magazine</i> , http://www.zdnet.com/pcmag/stories/reviews/0,6755,410470,00.html , August 17, 1999.
<u>LK</u> AL	"More Spam Fighters," <i>PC Magazine</i> , August 18, 1999.
<u>LK</u> AM	Hu, Jim, "Yahoo adds spam filter to email, but will it work?" http://news.cnet.com/category/0-1005-200-1476013.html , December 1, 1999.
<u>LK</u> AN	Festa, Paul, "Are new Yahoo, Hotmail spam filters better than past efforts?" http://news.cnet.com/category/0-1005-200-1488576.html , December 8, 1999
<u>LK</u> AO	"How does Brightmail know which email is spam?" http://www.brightmail.com/cgi-bin/faq.cgi?type=one&query=9 , Jan. 12, 2000.
<u>LK</u> AP	Festa, Paul, "Yahoo, others move to defuse email password-stealing threat," http://news.cnet.com/news/0-1005-202-1845138.html , May 9, 2000.
<u>LK</u> AQ	Hampton, Catherine A., "The Spam Bouncer," version 1.01, http://www.spambouncer.org , May 15, 2000.
<u>LK</u> AR	"Spam Buster Overview: Spam Criteria," http://www.contactplus.com/products/spam/spamover/overview2.htm , printed May 19, 2000.
<u>LK</u> AS	"Hit back at the Spammers!" http://www.cix.co.uk/~net-services/spam/spam_hater.htm , printed May 19, 2000
<u>LK</u> AT	"Flame Thrower," http://www.xeaglex.com/ftfaq.html , printed May 19, 2000.
<u>LK</u> AU	"Flame Thrower Frequently Asked Questions," http://www.xeaglex.com/ftfaq.html , printed May 19, 2000.
<u>LK</u> AV	Rimmer, Steven William, "Death to Spam: A Guide to Dealing with Unwanted E-mail," http://www.mindworkshop.com/alchemy/nospam.html , printed July 14, 2000.
<u>LK</u> AW	"Welcome, Hungry Spambots!" http://www.obliquity.com/computer/spambait/ , printed July 14, 2000.
<u>LK</u> AX	"Manuals," http://www.karkamiel.com/manuals.htm , printed July 14, 2000.
<u>LK</u> AY	"Manuals-The Source," http://www.karkamiel.com/source.htm , printed July 14, 2000.
<u>LK</u> AZ	"Manuals-Open Relay," http://www.karkamiel.com/or.htm , printed July 14, 2000.
<u>LK</u> BA	"Manuals-Website," http://www.karkamiel.com/website.htm , printed July 14, 2000.
<u>LK</u> BB	"Manuals-Glossary," http://www.karkamiel.com/glossary.htm , printed July 14, 2000.

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		Filing Date: January 31, 2001	Group:
<u>LC</u> BC	"Manuals-Forgery," http://www.karkamiel.com/forgery.htm , printed July 14, 2000.		
<u>LC</u> BD	"Manuals-Forgery II," http://www.karkamiel.com/forgery2.htm , printed July 14, 2000.		
<u>LC</u> BE	Prakash, Vipul Ved, "Ricochet: Automated agent for tracing and reporting internet junk mail," printed July 14, 2000.		
EXAMINER	<u>Lesa Kennedy</u>	DATE CONSIDERED	<u>3/30/04</u>

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	09/773,250
Filing Date	January 31, 2001
First Named Inventor	Geoffrey D. Ralston
Art Unit	2176
Examiner Name	
Attorney Docket Number	017887-007100US

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U.S. PATENT DOCUMENTS+

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code ² (if known)			
RK	A1	US-5,999,967	12-07-1999	Sundsted	
RK	A2	US-5,999,932	12-07-1999	Paul	
RK	A3	US-6,161,130	12-12-2000	Horvitz et al.	
RK	A4	US-6,199,103 B1	03-06-2001	Sakaguchi et al.	
RK	A5	US-6,321,267 B1	11-20-2001	Donaldson	
RK	A6	US-6,330,590 B1	12-11-2001	Cotten	
RK	A7	US-6,393,465 B1	05-21-2002	Leeds	
RK	A8	US-6,421,709 B1	07-16-2002	McCormick et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
	B1							<input type="checkbox"/>
	B2							<input type="checkbox"/>

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
	C1		
	C2		

Examiner Signature	Rosa Kennedy	Date Considered	3/31/04
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